

On the Formation of Mauvein: Mechanistic Considerations and Preparative Results

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Dedicated to Professor Gerhard Maass on the occasion of his 60th birthday

The reaction of aniline (AH_2) with an oxidizing agent in acidic solution gives rise to the formation of a mixture of products containing, besides a variety of oligoanilines named as Aniline Black or Polyaniline, Mauvein as a deeply purple phenazine derivative. Although this Mauvein synthesis was developed by W.H. Perkin more than 150 years ago and has opened the era of industrial dyestuff chemistry, the detailed mechanism of this reaction has remained rather unclear until today. The elucidation of the mechanism of the Mauvein formation as an oxidative coupling process of AH_2 is hindered by the fact that several different types of coupling reactions occur simultaneously. Among them the *C,N*-coupling reaction is the most important one and responsible for the formation of Polyaniline and Mauvein. It gives rise to the formation of, *e. g.* 2 different aniline dimers, 7 trimers and more than 20 tetramers including Mauvein as one representative of these tetramers.

In the present study, the oxidative coupling of a mixture of AH_2 and 4-amino-4'-(*N*-anilino)-diphenylamine (T_aH_2) as one of the aniline trimers was studied in more detail. The reaction leads to the formation of Mauvein in satisfactory yields and, after some manipulations, widely free of by-products. By using simple aniline derivatives as co-reagents in the oxidative coupling with T_aH_2 , the reaction can be extended to the synthesis of different Mauvein derivatives most of which have been unknown to date.

Key words: Mauvein, Phenazine Dyes, Synthesis, Mechanism

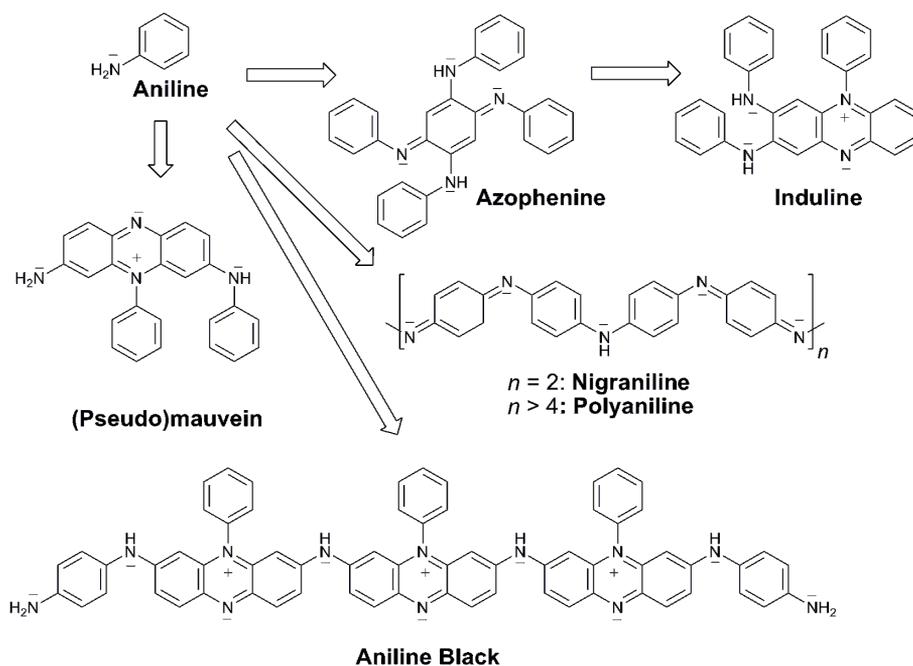
Introduction

Stimulated by an idea of August Wilhelm von Hofmann, *anno* 1856, his eighteen years old student William Henry Perkin tried to synthesize quinine by oxidation of crude aniline. Quinine, its chemical structure known only roughly as a nitrogen-containing compound and widely used at that time as an anti-malaria drug, was available only *via* an elaborated way from the Cinchona bark [1]. Other than expected, instead of the desired quinine, Perkin obtained in the course of his experiments with aniline and some of its derivatives a deeply colored reaction mixture which contained a purple compound able to dye wool and silk in brilliant shadows [2]. Therefore Perkin decided to finish his exploratory study and started to produce the novel dye on a technical scale. Under the name Aniline Purple, Mauvein or Mauve this product was sold immediately [3]. With this discovery the era of tar industry as the initia-

tor of a large chemical industry in Europe and overseas was opened [4].

Remarkably, Perkin was not the first scientist who studied the oxidation of aniline and its derivatives, but he was the first one who produced a useful dyestuff in practicable amounts and brought it to the market. Before him the German chemist Friedlieb Ferdinand Runge, who isolated aniline for the time first from tar in 1838 and provided the basis for its inexpensive availability in larger amounts, had studied the oxidation of aniline also and thereby developed the synthesis of an intensively colored product named Aniline Black [5]. This product was able to dye textile fibres also, but it was not used practically later on due to its low dyeing quality. Therefore, Perkin entered the modern history as the pioneer of the dyestuff industry.

Although the procedure of Mauvein production was rather simple – a sulfuric acid solution of crude aniline is to treat with potassium bichromate [6] – it has sev-



Scheme 1.

eral malices making it difficult to handle and demands of the producer a great deal of skillness and engagement. Especially the low yields of the pure dye were the most critical points indicating that the essential dye-forming process is rather complicated. It depends to a large extent on the quality of the starting aniline and gives better yields of product if some toluidines, especially *ortho*- and *para*-toluidine, are admixed to aniline.

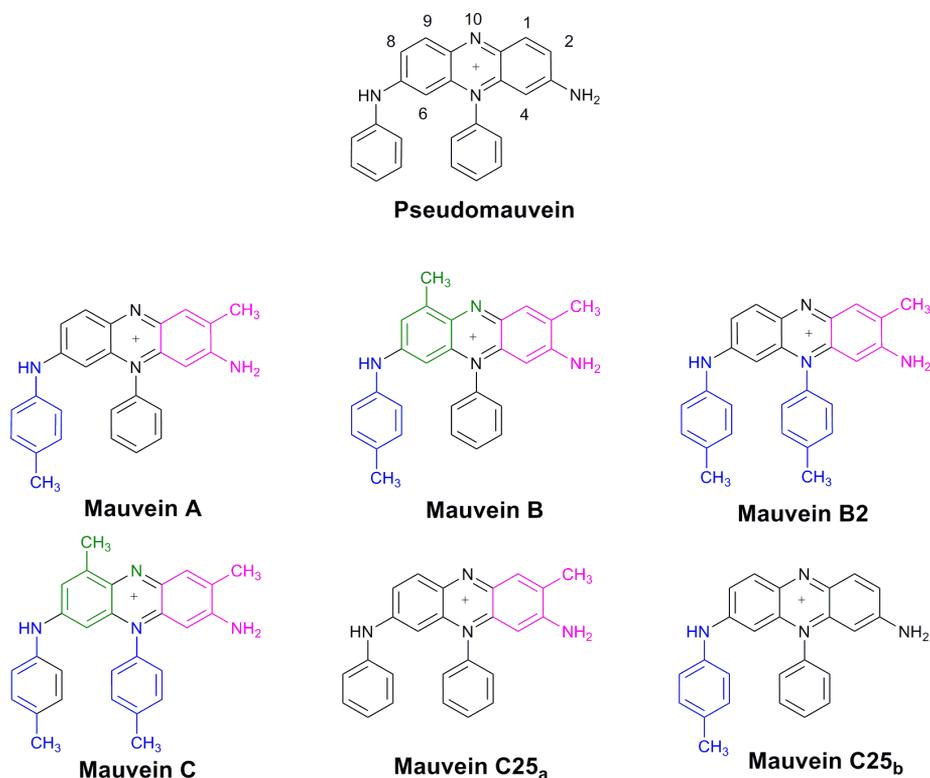
Although Perkin soon recognized that his Mauvein is not an uniform product but consists of two different components at least, the structures of these compounds could not be elucidated by him correctly at this time. Sometimes later, however, Otto Fischer and Eduard Hepp as well as Rudolf Nietzki found that a phenazine moiety is the basic structure of Mauvein [7]. These chemists and few others found in the course of subsequent studies of the aniline oxidation process several further products derived from aniline, such as Azophenines, Indulines, and Nigranilines [8]. Moreover, different kinds of Aniline Blacks could be prepared in the meantime, and different suggestions for their structures have been made (Scheme 1) [9]. More recently Aniline Black received much attention as an organic electrically conducting material [10].

In respect to the Mauvein formation it is worth mentioning that the use of anilines with a free 4-position is

a precondition for the formation of this oxidation product indicating that this position is essential for the reactions involved.

More detailed studies on the structure of Mauvein have demonstrated that an original technical sample of Perkins Violet consists of a mixture of seven different compounds containing methyl groups at different positions in the parent phenazine core [11]. The methyl groups originate from the starting material used, an aniline/toluidine mixture. The different Mauvein derivatives have been named, as depicted in Scheme 2, as Pseudomauvein, Mauvein A, Mauvein B, Mauvein B2, Mauvein C, Mauvein C25, and Mauvein C25b [12]. In accordance with the Chemical Abstract Service the enumeration of the ring positions is performed as depicted in Scheme 2.

Despite of a variety of different studies on the mechanism of the Mauvein formation, the process is not clear in all details as yet. As indicated by ESR and Raman spectroscopic measurements, the process starts with the formation of an aniline cation radical $\text{AH}_2^{\bullet+}$ from aniline AH_2 initiated by the oxidative agents applied [13]. This highly reactive cation radical $\text{AH}_2^{\bullet+}$ attacks an unchanged aniline AH_2 , which under the experimental conditions is in an equilibrium with the corresponding anilinium ion AH_3^+ , giving rise to the formation of different types of products. As primary



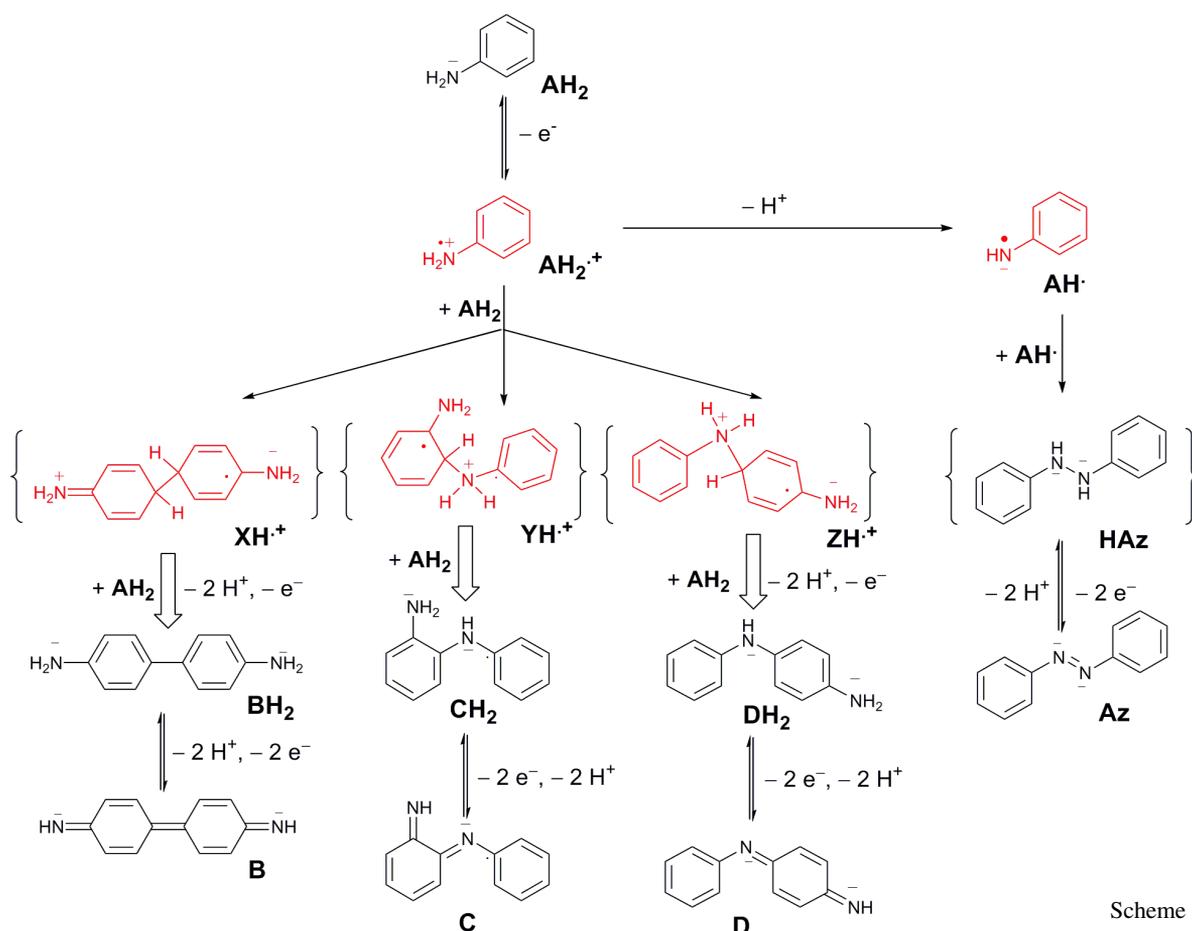
Scheme 2.

products of the reaction of the cation radical $\text{AH}_2^{\bullet+}$ with the parent aniline AH_2 , benzidine BH_2 , *o*-aminodiphenylamine CH_2 and *p*-amino-diphenylamine DH_2 as well as hydrazobenzene HAz could be detected, which are transformed immediately into the corresponding oxidation products diphenquinone diimine **B**, *N*-phenyl-1,2-quinone diimine **C**, *N*-phenyl-1,4-quinone diimine **D**, and azobenzene **Az**, respectively (Scheme 3) [14].

Although no detailed information on the relative amounts of the formed products exists at yet, *N*-phenyl-1,4-quinone diimine **D** seems to be the main reaction product occurring in the course of this oxidative dimerization process and can be considered as the essential precursor in the Mauvein formation [15]. It is generated by the oxidation of *para*-amino-diphenylamine DH_2 – probably by the attack of the aniline cation radical $\text{AH}_2^{\bullet+}$ on DH_2 – and can react either with the starting aniline AH_2 or with its own 4-amino-diphenylamine precursor DH_2 [16]. For these reactions three different pathways may be considered which give rise to the formation of either the trimers T_aH_2 , T_bH_2 , and T_cH_2 or of the tetramers Q_aH_4 , Q_bH_4 , and Q_cH_4 , respectively (Scheme 4). Whereas

the compounds T_aH_2 and Q_aH_4 can be generated in the course of an electrophilic attack of the imino group of quinone diimine **D** at the *para*-position of AH_2 or DH_2 (Route **a**), the other compounds can be formed in the course of a nucleophilic attack of the amino groups of AH_2 or DH_2 at the position *b* or *c* of the quinone diimine double bond of **D** (Route **b** or Route **c**, respectively) [16]. The electrophilic attack of the aniline cation radical $\text{AH}_2^{\bullet+}$ at the 4'-position of 4-amino-diphenylamine DH_2 , analogous to its reaction with aniline AH_2 , has been discussed by several authors, but can be ruled out as an alternative route for generating T_aH_2 because this reaction is overruled by the redox reaction mentioned before. It is worth mentioning that the radical cation $\text{DH}_2^{\bullet+}$ formed in the course of the synproportionation of DH_2 with **D** was made responsible for the formation of Q_aH_4 by dimerization during the aniline oligomerization [17].

Unfortunately, no information on the relative amounts of the individual compounds formed in the reaction of **D** with AH_2 or DH_2 is available at yet. Nevertheless, in respect to their structure only the mentioned trimers T_aH_3 , T_bH_3 , and T_cH_3 ($\text{R} = \text{H}$) are to be considered as Mauvein precursors. By contrast,



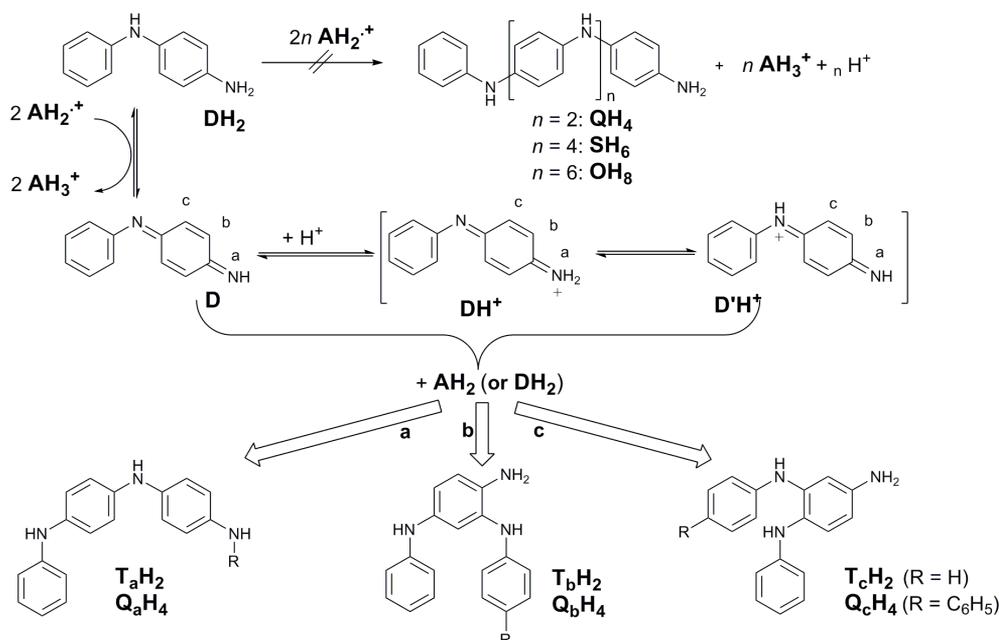
the corresponding tetramers Q_aH_4 , Q_bH_4 , and Q_cH_4 ($R = C_6H_5NH$) can be considered solely as polyaniline precursors, of which Q_aH_4 is the most favored product. In analogy to DH_2 , this aniline tetramer can react, after its oxidation, to the corresponding quinone diimine derivatives Q_aH_2 or Q_a , either with DH_2 or with Q_aH_4 , forming oligomers with six (SH_6) or eight (OH_8) aniline moieties (Scheme 4).

Results and Discussion

Because the ability of the aniline trimer T_aH_3 to function as an educt for Mauvein has not yet been studied, we have checked this possibility and found that this trimer is a versatile starting material for preparing the title compound in satisfactory yields. Simultaneously, we also checked the performance of the aniline dimer DH_2 in the same reaction, and found that this compound can also serve as a Mauvein educt. How-

ever, the yields are rather low in this case, and the product is accompanied by a variety of by-products very difficult to separate from the target compound. This is in contrast to the oxidative coupling of DH_2 with 3-amino-diphenylamine studied by Nietzki in 1896 which gives rise to the formation of Mauvein in satisfactory yield [7]. It has to be pointed out, however, that 3-amino-diphenylamine is not a direct aniline coupling product and therefore has to be synthesized by a special synthetic route.

The oxidative coupling of T_aH_3 (or of DH_2) with aniline and several of its derivatives A_iH_2 was performed in analogy to a procedure used for the synthesis of Safranin [18] by adding an equimolar mixture of the corresponding compounds dissolved in methanol into a solution of $K_3Fe(CN)_6$ in aqueous sodium acetate (0.5 M) and refluxing the mixture until no starting materials could be detected by TLC. In all cases this coupling gives rise to the formation of the corresponding



Scheme 4.

Mauvein derivatives M_i . Whereas in the case of T_aH_3 the corresponding Mauveins M_i were obtained in satisfactory yields, mostly and only accompanied with few other by-products, by starting with DH_2 mainly a variety of other products, such as Polyaniline as well as different types of phenazines, were formed.

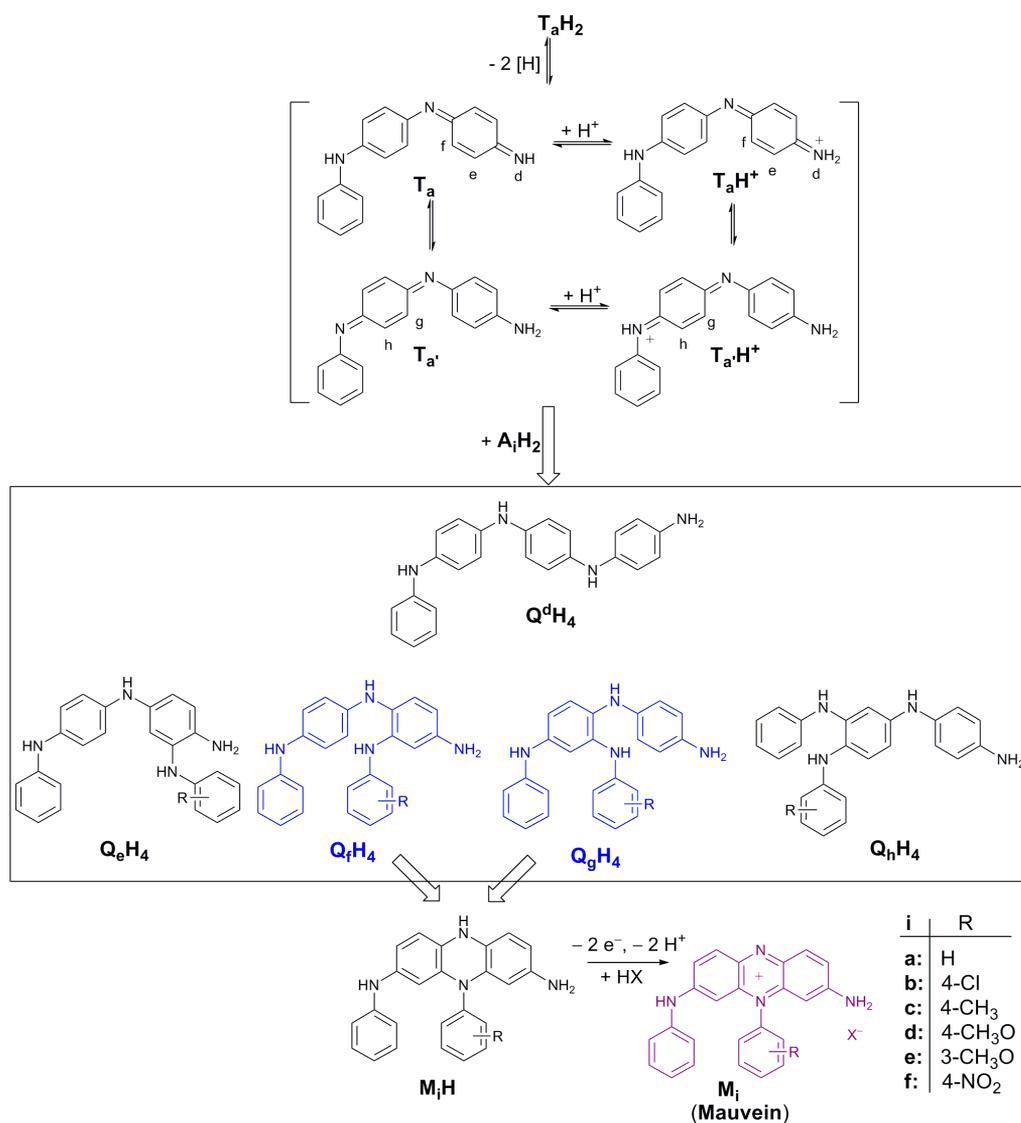
To separate these by-products from the target Mauveins the crude product was first extracted with boiling ethyl acetate and then with hot methanol. The methanolic solution was filtered after cooling to remove sparingly soluble Polyaniline and treated with an aqueous solution of Na_2ZnCl_4 . This procedure removes the paramagnetic Polyaniline impurities and transforms the less soluble Mauvein acetates obtained as primary products into better soluble tetrachlorozincates which can finally be isolated as lustrous green, bronze-colored crystals.

It should be mentioned that the complete separation of paramagnetic Polyaniline is essential to obtain satisfactory ^1H NMR spectra of the Mauvein products.

From these preparative results it follows that the dye-forming process very probably starts, as depicted in Scheme 5, with the quinone diimine intermediates T_aH or T_a/H (or with their protonated species T_aH_2^+ and T_a/H_2^+) which are generated from the T_aH_3 educt by oxidation. Immediately after their formation the appropriate aniline derivatives A_iH_2 are added at their quinone diimine moieties. Thereby the ani-

line tetramers $\text{Q}_d\text{H}_4 - \text{Q}_h\text{H}_4$ are formed among which only the compounds Q_fH_4 and Q_gH_4 are the essential educts for the Mauvein formation. Whereas these aniline tetramers are subsequently transformed into the corresponding quinone diimine derivatives Q_iH_2 (not depicted in Scheme 5), from which the Mauvein derivatives M_i can be generated *via* the intermediate formation of the dihydrophenazine derivatives M_iH , the other aniline tetramers Q_dH_4 , Q_eH_4 and Q_hH_4 are transformed into higher aniline oligomers which contaminate the target Mauveins and make expensive purification procedures necessary for obtaining neat products.

The structure of the Mauvein derivatives M_i prepared as described above follows unambiguously from their analytical data. Thus, all Mauveins M_i exhibit in their mass spectra characteristic molecular ion peaks and give rise in their ^1H NMR spectra, in accordance with other studies [11, 12], to characteristic signals at 5.9, 6.3, 7.5, 8.0, 8.1, and 8.2 ppm, stemming from the protons attached to their phenazinium moieties. Whereas most of these signals are split into doublets, the signals at 7.5 ppm appear as double doublets. The doublets at 5.9 and 6.3 ppm stem from the protons attached to the 6- and 4-position of the phenazine moiety. These protons are significantly shielded by the ring current of the *N*-phenyl moieties at N(5), as can be seen, *e. g.* by comparing the ^1H NMR spectral data



Scheme 5.

of the Mauveins M_i with the corresponding data of 9-methyl-2,7-bis(methylamino)phenazinium perchlorate which exhibits a low-field singlet at 6.70 ppm for the protons at C(1) and C(9) [19]. According to X-ray diffraction [20] and gas phase electron diffraction studies [21] or DFT calculations [22] these phenyl rings are twisted from the phenazine plane with an angle of about 45°. Finally, the signals of the protons at the *N*-linked phenyl groups are found as multiplets between 7.1 and 8.1 ppm.

All Mauveins M_i exhibit, as can be seen in Fig. 1 for the parent Mauvein M_a as an example, deep colors originating from intense absorptions at about 550 nm.

These absorptions are not much influenced by the substituents R at the *N*-phenyl moiety of the phenazine core and are responsible for the purple color of all Mauvein derivatives.

In summary, the previous considerations as well as the experimental data suggest that the aniline trimer *N*-(4-aminophenyl)-*N'*-phenyl-benzene-1,4-diamine (T_aH_2) is an essential intermediate in the Mauvein formation process. This compound is able, after transformation into the corresponding quinone diimine derivative T_a , to react with aniline at one of its C-C double bonds to yield four different aniline tetramers Q_eH_4 , Q_fH_4 , Q_gH_4 , and Q_hH_4 , of which

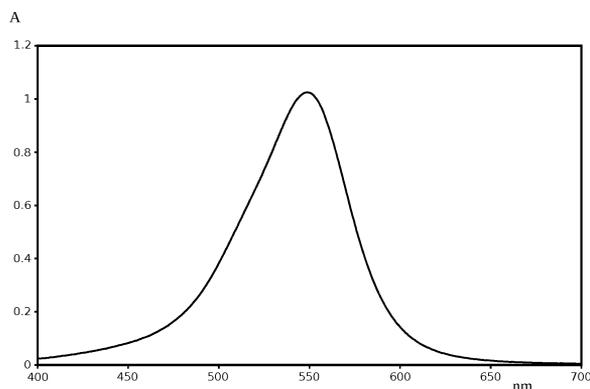


Fig. 1. Absorption spectrum of Pseudomauvein (M_a), measured in methanol ($c = 10^{-5} \text{ mol L}^{-1}$).

only the second and third act as Mauvein educts, whereas the other ones and the trimeric precursors T_bH_2 and T_cH_2 are responsible for the other products formed. Alternatively, the quinone diimine derivative T_a can react with its precursor T_aH_2 analogously to yield different types of aniline tetramers, such as Q_aH_4 , Q_bH_4 , Q_cH_4 and Q_dH_4 , which are obviously unable to act as Mauvein precursors.

To get a more deeper insight in the Mauvein formation process and also, alternatively, into the process of the Aniline Black formation, it seems necessary to estimate implicitly the actual concentrations of all the intermediates formed as well as their reactivities and different reaction pathways under the experimental conditions applied. Although for such investigations the required physicochemical methods, especially spectro-electrochemical methods, are generally well-established, they unfortunately have not been employed at yet.

Experimental Section

General information

The following instruments and analytical techniques were used: Melting points: Kofler hot-stage microscope, corrected; NMR: Bruker 500 MHz spectrometer DRX 500P; $[D_6]DMSO$ as solvent; δ in ppm (assignment); UV/Vis: Perkin Elmer spectrometer Lambda 900; methanol as solvent. MS: Bruker Esquire MS with Ion Trap detector and ESI-Source, measurement parameters: fragmentation voltage: 1–200 V, corona voltage 140–1800 V.

Preparation of *N*-(4-aminophenyl)-*N'*-phenyl-benzene-1,4-diamine

a) The preparation of the *N*-(4-nitrophenyl)-*N'*-phenyl-benzene-1,4-diamine precursor was performed according to

the literature by reaction of *N*-phenyl-1,4-phenylene diamine with 4-fluoronitrobenzene in DMSO [23]. Yield 65 %; m. p. 139–140 °C (ref. [23a]: 132–134 °C; ref. [23b]: 139 °C).

b) Preparation of *N*-(4-aminophenyl)-*N'*-phenyl-benzene-1,4-diamine (T_aH_2): Into a solution of *N*-(4-nitrophenyl)-*N'*-phenyl-benzene-1,4-diamine (1.53 g, 5.0 mmol) in acetic acid (15 mL) Zn dust (2.61 g, 40 mmol) was added in small portions with stirring at r. t. After the color of the reaction mixture had turned to yellow-brown (after about 3 min) methanol (40 mL) was added. Then the resulting slurry was filtered by suction through a pad of celite, the filter cake was washed with methanol (10 mL), and the combined filtrates were neutralized with methanolic KOH (0.25 M). The resulting filtrate was used directly for the Mauvein synthesis given below.

Preparation of Mauveins (M_i). General procedure

a) Oxidative coupling: To a boiling solution of $K_3Fe(CN)_6$ (10.86 g, 33 mmol) in 0.5 M aqueous sodium acetate (200 mL) a solution of *N*-(4-aminophenyl)-*N'*-phenyl-benzene-1,4-diamine (T_aH_2 , 5 mmol) in methanol, followed by the appropriate aniline derivative A_iH_2 (7.5 mmol) dissolved in methanol (5 mL), was added. The resulting mixture which turned immediately to deep purple was refluxed for 3 h. After addition of methanol (150 mL), refluxing was continued for further 30 min. The hot mixture was filtered by suction and the filter cake washed with methanol (50 mL) until the filtrate was pale purple. The filtrate was evaporated *in vacuo* and the residual aqueous phase left standing over night at 20 °C. The raw Mauvein precipitated was collected by filtration, washed with water (50 mL) and ethyl acetate (50 mL), and dried at 120 °C.

b) Purification of the raw material: The solid residue of step a) was first triturated and digested with boiling ethyl acetate ($2 \times 75 \text{ mL}$) to remove impurities and then refluxed with methanol (200 mL) for 2 h. After cooling to 20 °C, the mixture was filtered through a fine pore paper filter and the residual filter cake washed with methanol until the filtrate was pale purple. The combined filtrates were added to a refluxing solution of $Na_2[ZnCl_4]$ (12.63 g, 50 mmol) dissolved in 0.02 M HCl (100 mL). The mixture obtained was concentrated *in vacuo* at r. t. and the residual aqueous phase left standing over night at 20 °C. The solid product was filtered, washed with 0.02 M HCl (10 mL), water (25 mL) and ethyl acetate (50 mL), and dried at 120 °C.

Thus, the following Mauvein derivatives were obtained in black-green, bronze micro-crystals.

Bis-(3-amino-5-phenyl-7-phenylamino-5-phenazinium) tetrachlorozincate (M_a)

Yield 40 %; m. p. 288–290 °C. – 1H NMR: $\delta = 5.92$ (d, $J = 2.0 \text{ Hz}$, 1H), 6.25 (s, $J = 2.0 \text{ Hz}$, 1H), 7.13 (m, 3H), 7.32

(m, 3H), 7.50 (dd, $J = 2.0$ Hz, $J = 9.2$ Hz, 1H), 7.64 (m, 2H), 7.75 (m, 1H), 7.81 (m, 2H), 8.00 (d, $J = 9.2$ Hz, 1H), 8.08 (m, 3H), 10.16 (s, 1H, NH). – UV/Vis: λ_{\max} (log ϵ) = 549 nm (5.01). – MS: m/z = 363.2 (calcd. 363.43 for C₂₄H₁₉N₄).

Bis-[3-amino-5-(4-chlorophenyl)-7-phenylamino-5-phenazinium] tetrachlorozincate (M_b)

Yield 16 %; m. p. 226–230 °C (dec.). – ¹H NMR: δ = 5.93 (d, $J = 1.9$ Hz, 1H), 6.23 (s, $J = 1.9$ Hz, 1H), 7.16 (m, 3H), 7.34 (m, 3H), 7.52 (dd, $J = 1.9$ Hz, $J = 9.2$ Hz, 1H), 7.72 (d, $J = 8.6$ Hz, 2H), 7.94 (d, $J = 8.6$ Hz, 2H), 7.99 (d, 5H), 8.00 (d, 1H, $J = 9.3$ Hz, 1H), 8.08 (d, $J = 9.3$ Hz, 1H), 8.12 (s, 2H, NH), 10.17 (s, 1H, NH). – UV/Vis: λ_{\max} (log ϵ) = 550 nm (5.06). – MS: m/z = 397.2 (calcd. 397.88 for C₂₄H₁₈N₄).

Bis-[3-amino-5-(4-methylphenyl)-7-phenylamino-5-phenazinium] tetrachlorozincate (M_c)

Yield 31 %; m. p. 273–275 °C (dec.). – ¹H NMR (in CD₃NO₂): δ = 2.56 (s, 3H, CH₃), 6.21 (s, 1H, NH), 6.23 (d, $J = 2.2$ Hz, 1H), 6.50 (d, $J = 2.2$ Hz, 1H), 7.21 (t, 1H), 7.26 (d, $J = 7.5$ Hz, 2H), 7.36–7.42 (m, 5H), 7.58 (dd, $J = 2.2$ Hz, $J = 9.3$ Hz, 1H), 7.65 (d, $J = 8.2$ Hz, 2H), 8.02 (d, $J = 9.3$ Hz, 1H), 8.07 (d, $J = 9.3$ Hz, 1H), 8.15 (2H, NH). – UV/Vis: λ_{\max} (log ϵ) = 548 nm (5.05). – MS: m/z = 377.2 (calcd. 377.46 for C₂₅H₂₁N₄).

Bis-[3-amino-5-(4-methoxyphenyl)-7-phenylamino-5-phenazinium] tetrachlorozincate (M_d)

Yield 19 %; m. p. 263 °C. – ¹H NMR: δ = 3.89 (s, 3H, CH₃O), 5.99 (d, $J = 2.2$ Hz, 1H), 6.34 (s, $J = 2.2$ Hz, 1H), 7.17 (m, 3H), 7.35 (m, 4H), 7.50 (dd, $J = 2.2$ Hz, $J = 9.3$ Hz,

1H), 7.56 (m, 2H), 8.04 (d, 1H), 8.08 (m, 2H), 10.12 (d, $J = 3.8$ Hz, 1H, NH). – UV/Vis: λ_{\max} (log ϵ) = 548 nm (5.01). – MS: m/z = 393.2 (calcd. 393.46 for C₂₅H₂₁N₄O).

Bis-[3-amino-5-(3-methoxyphenyl)-7-phenylamino-5-phenazinium] tetrachlorozincate (M_e)

Yield 26 %; m. p. 248–250 °C (dec.). – ¹H NMR: δ = 3.83 (s, 3H, CH₃O), 5.99 (d, $J = 2.2$ Hz, 1H), 6.32 (d, $J = 2.2$ Hz, 1H), 7.17 (m, 4H), 7.31–7.36 (m, 5H), 7.48 (dd, $J = 2.2$ Hz, $J = 9.3$ Hz, 1H), 7.73 (m, 1H), 8.00 (d, $J = 9.3$ Hz, 1H), 8.09 (d, $J = 9.3$ Hz, 1H), 8.11 (s, 1H, NH), 10.16 (s, 1H, NH). – UV/Vis: λ_{\max} (log ϵ) = 549 nm (5.04). – MS: m/z = 393.2 (calcd. 393.46 for C₂₅H₂₁N₄O).

Bis-[2-amino-5-(2-methoxyphenyl)-7-phenylamino-5-phenazinium] tetrachlorozincate (M_e)

Yield 41 %; m. p. 223–225 °C. – ¹H NMR: δ = 3.73 (s, 3H, CH₃O), 5.97 (d, $J = 2.3$ Hz, 1H), 6.28 (d, $J = 2.3$ Hz, 1H), 7.08–7.16 (m, 3H), 7.26–7.36 (m, 4H), 7.47 (dd, $J = 2.2$ Hz, $J = 9.3$ Hz, 1H), 7.50 (dd, $J = 2.2$ Hz, $J = 9.3$ Hz, 1H), 7.73 (t, 1H), 7.97 (d, $J = 9.3$ Hz, 1H), 8.9 (d, $J = 9.3$ Hz, 1H), 8.16 (s, 2H, NH), 10.19 (s, 1H, NH). – UV/Vis: λ_{\max} (log ϵ) = 550 nm (5.02). – MS: m/z = 393.2 (calcd. 393.46 for C₂₅H₂₁N₄O).

Bis-[3-amino-5-(4-nitrophenyl)-7-phenylamino-5-phenazinium] tetrachlorozincate (M_f)

Yield 2 %; m. p. 177–180 °C. – ¹H NMR: δ = 5.92 (s, 1H), 6.26 (s, 1H), 7.15 (m, 3H), 7.30–7.36 (m, 3H), 7.49 (d, 1H), 7.64 (d, 1H), 7.81 (m, 2H), 7.99–8.10 (m, 3H), 10.14 (s, 1H, NH). – UV/Vis: λ_{\max} (log ϵ) = 549 nm (5.03). – MS: m/z = 409.2 (calcd. 408.43 for C₂₄H₁₈N₅O₂).

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